

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
TRANSMITTAL

In re Application of: Paul H. Stypulkowski Group Art No.: 3763  
Serial No.: 10/045,122 Examiner: Unknown  
Filed: November 9, 2001 Due Date: 10/5/2002  
Docket No.: P-9018.00 US (11738.00024)  
For: MULTIPLEXED ELECTRODE ARRAY EXTENSION



**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this 30 day of September, 2002.

\_\_\_\_\_  
Signature  
Stephen W. Bauer  
\_\_\_\_\_  
Printed Name

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- X Transmittal (in duplicate)
- X Information Disclosure Statement, PTO FORM SB/08A, and copies of cited documents
- X Return Postcard

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OCT 07 2002  
TECHNOLOGY CENTER R3700

**FEE CALCULATION**

- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(b)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification
- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

- X Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- X Please charge any additional fees or credits to Deposit Account No. 13-2546, which may have been overlooked on this Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.

\_\_\_\_\_  
September 30, 2002  
Date

\_\_\_\_\_  
Stephen W. Bauer  
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PATENT  
Docket: P-9018.00 US (11738.00024)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

L. Parks  
10-9-02  
#7/IDS

In re Application of: Paul H. Stypulkowski      Group Art No.: 3762  
Serial No.: 10/045,122      Examiner: Unknown  
Filed: November 9, 2001      Due Date: 10/5/2002

For: MULTIPLEXED ELECTRODE ARRAY EXTENSION

INFORMATION DISCLOSURE STATEMENT

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OCT 07 2002

Assistant Commissioner for Patents  
Washington D.C. 20231

TECHNOLOGY CENTER R3700

Dear Sir:

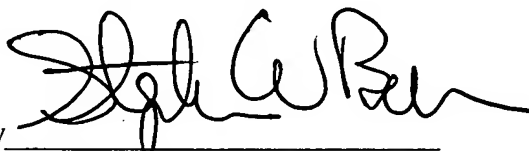
In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Enclosed is a copy of an International Search for the Examiner's consideration.

I hereby certify under 37 C.F.R. § 1.97(e)(1) that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement

Consideration of each of the documents listed on the attached Form SB/08A is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form SB/08A, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Date 9-30-02

By 

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

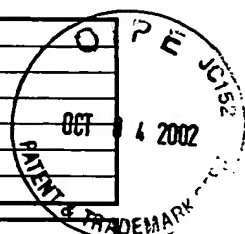
## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

### Complete if Known

Application Number	10/045,122
Filing Date	November 9, 2001
First Named Inventor	Paul H. Stypulkowski
Group Art Unit	3762
Examiner Name	Unknown
Attorney Docket Number	P-9018.00 US (11738.00024)

Sheet 1 of 1



## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,178,161		Kovacs	01-12-1993	
		5,281,219		Kallok	01-25-1994	
		5,314,495		Kovacs	05-24-1999	
		5,935,155		Humayun et al	08-10-1999	
		6,038,480		Hrdlicka et al	03-14-2000	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		WO	97/37720	A	Medtronic, Inc.	10-16-1997		
		WO	99/06105	A	Desmond	02-11-1999		
		WO	99/45870	A1	Humayun et al.	09-16-1999		

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>
		PCT International Search Report PCT/US02/09887 (Mailed August 8, 2002)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw Line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.